

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:	
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Kimio ISHIMARU et al.	:	Confirmation No. 4555
	:	
U.S. Patent Application No. 10/521,095	:	Group Art Unit: 3694
	:	
Filed: August 22, 2005	:	Examiner: Trotter, Scott S.

For: RESEARCH-AND-DEVELOPMENT INVESTMENT SECURITIES SELLING METHOD,
SYSTEM, PROGRAM, AND RECORDING MEDIUM

AMENDMENT AND RESPONSE UNDER 37 CFR § 1.111

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated January 6, 2009, a reply being due April 6, 2009,
please amend the above-identified application as follows:

Amendments to the Abstract –page 2.

Amendments to the Claims – page 3.

Remarks/Arguments – page 24.